

# COMPUTATIONAL ENUMERATION OF POINT DEFECT CLUSTERS IN DOUBLE-LATTICE CRYSTALS

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### Abstract

The cluster representation matrices have already been successfully used to enumerate close-packed vacancy clusters in all single-lattice crystals [1, 2]. Point defect clusters in double-lattice crystals may have identical geometry but are distinct due to unique atomic positions enclosing them. The method of representation matrices is extended to make it applicable to represent and enumerate the point defect clusters in multi-lattice crystals as well. A computational procedure based on family representation matrices is developed and applied to the hexagonal close-packed structure.

### Introduction

Brody and Meshii [3], Crocker [4] and other research workers have developed various methods to identify distinct close-packed point defect clusters in crystals. These are confined to cubic crystals and therefore could not be adopted for double-lattice structures. The concept of cluster representation matrices have been developed and applied to enumerate vacancy and vacancy-solute mixed clusters in all single crystals by Ahmad et al. [1] and Malik et al. [2]. When this method is applied to double-lattice crystals discrepancies arise due to the fact that there are some vacancy clusters which have exactly the same geometry but are distinct due to the unique atomic positions surrounding them. To illustrate this problem two distinct h.c.p. trivacancy clusters having identical geometric shapes are shown in Fig. 1 (a and b).

Among the other methods, the most reliable one is due to Crocker [5]. In this method the clusters are enumerated by sketching and visual inspection of all of their possible orientations (variants). The method is tiresome and may give unsatisfactory results for bigger clusters. As the sketching procedure [4] cannot be adopted for computational treatment of the problem, therefore a numerical method is necessary for the fast and

accurate enumeration of the distinct vacancy clusters in double-lattice crystals. The present note describes the extension of the matrix representation procedure to enumerate the clusters in multi-lattice crystals.

### Family Representation Matrix

An n-point cluster having m community points (those having first neighbour relation with at least two points of the cluster) is called a family as a whole. Let all n points of a cluster itself and m those of its community points be labelled by positive integers i such that  $i \leq n$  and  $n < i \leq (n+m)$  respectively. The  $(n+m) \times (n+m)$  square array of distances  $R_{ij}$ , between points i and j, is then known as a family representation matrix  $F_{ij}$  of the cluster:

$$F_{ij} = \begin{bmatrix} 0 & R_{12} & R_{1n} & R_{1(n+1)} & R_{1(n+m)} \\ R_{21} & 0 & R_{2n} & R_{2(n+1)} & R_{2(n+m)} \\ \dots & \dots & \dots & \dots & \dots \\ R_{n1} & R_{n2} & 0 & R_{n(n+1)} & R_{n(n+m)} \\ R_{(n+1)1} & R_{(n+1)2} & R_{(n+1)n} & 0 & R_{(n+1)(n+m)} \\ \dots & \dots & \dots & \dots & \dots \\ R_{(n+m)1} & R_{(n+m)2} & R_{(n+m)n} & R_{(n+m)(n+1)} & 0 \end{bmatrix}$$

**Keywords:** Vacancy Clusters, Point Defect

Clearly this matrix is symmetric and has zero elements along its principal diagonal. The matrix  $F_{ij}$  can be divided into four submatrices having their own representative properties. The top left  $(n \times n)$  square matrix  $R_{ij}$  is the well-known cluster representation matrix [1]. The bottom right  $(m \times m)$  square array could be named as Community Representation Matrix  $C_{ij}$  because this gives the relationship among the community points. The remaining two  $(n \times m)$  and  $(m \times n)$  matrices are mutually transpose and tabulate the relationship between cluster and those of the community points and thus are named as Relation Matrices.

There are  $n(n-1)/2$  elements  $R_{ij}$ ,  $1 \leq i < j \leq n$ , which occupy the upper/lower triangle of the cluster representation matrix. Similarly  $m(m-1)/2$  elements  $C_{ij}$ ,  $(n+1) \leq i < j \leq (n+m)$ , occupy the upper/lower triangle of community representation matrix. It is convenient to write the elements in the form of a single row or cluster representation vector  $C_{\alpha}$ . For example, for  $n=3$  and  $m=4$   $R_{\alpha} = (R_{12}, R_{13}, R_{23})$  and

$$C_{\alpha} = (C_{45}, C_{46}, C_{47}, C_{56}, C_{57}, C_{67}).$$

As there are  $n$ -points in a cluster having  $m$  community points that can be labelled in any order, there are in general  $n!$  and  $m!$  possible representation matrices for a given configuration. These matrices are related by coordinated interchanges of rows and corresponding columns. It is necessary, of course, to select one for each to characterize the cluster. The characteristic choice is the matrix corresponding to the cluster representation vector  ${}^cR_{\alpha}$  and community representation vector  ${}^cC_{\alpha}$  in which as far as possible short lengths take the precedence [1].

For the h.c.p. divacancies shown in Fig. 1, (c&d) the characteristic representation and community representation matrices are:

$$R_{ij}^c = \begin{bmatrix} O & A \\ A & O \end{bmatrix} \quad R_{ij}^d = \begin{bmatrix} O & A \\ A & O \end{bmatrix}$$

$$C_{ij}^c = \begin{bmatrix} O & A & A & D \\ A & O & C & B \\ A & C & O & B \\ D & B & B & O \end{bmatrix} \quad C_{ij}^d = \begin{bmatrix} O & A & B & D \\ A & O & D & B \\ B & D & O & A \\ D & B & A & O \end{bmatrix}$$

where A, B, C, --- represent the 1st, 2nd, 3rd, --- nearest-neighbour distances respectively. The corresponding characteristic cluster and community representation vectors are:

$${}^cR_{\alpha}^c = A; \quad {}^cC_{\alpha}^c = AADCBB$$

$${}^cR_{\alpha}^d = A; \quad {}^cC_{\alpha}^d = ABDDBA$$

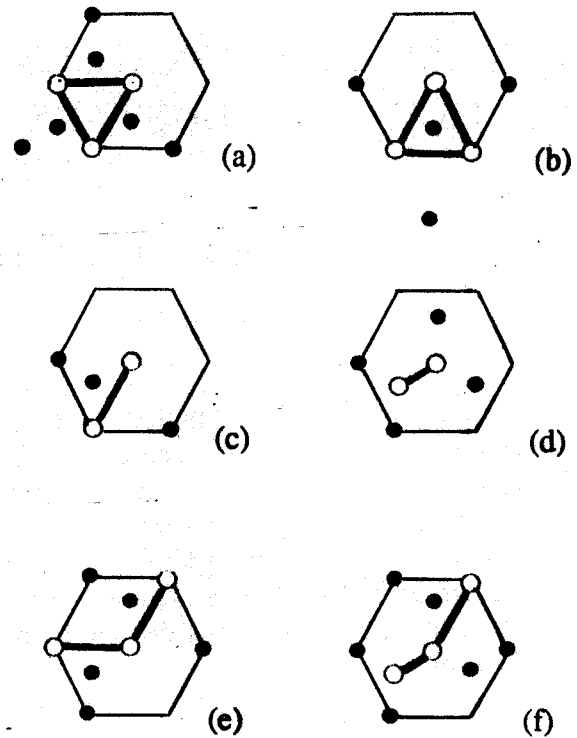


Figure 1. Cluster of 2,3 points on h.c.p. lattice. open 7 solid circles denote the cluster and community points respectively

True representation vector  $T_{\alpha}$  is formed by writing the cluster representation vector followed by community representation vector in the same parenthesis pair as:

$$T_{\alpha}^c = (A; AADCBB)$$

$$T_{\alpha}^d = (A; ABDDBA)$$

As a further example of the application of this method to the identification of h.c.p clusters shown in Fig. 1 (e & f) have the characteristic representation matrices:

$$R_{ij}^e = \begin{bmatrix} O & A & A \\ A & O & D \\ A & D & O \end{bmatrix} \quad R_{ij}^f = \begin{bmatrix} O & A & A \\ A & O & D \\ A & D & O \end{bmatrix}$$

$$C_{ij}^e = \begin{bmatrix} O & A & A & B & B & D & D \\ A & O & C & A & E & D & B \\ A & C & O & E & A & D & B \\ B & A & E & O & C & A & D \\ B & E & A & C & O & A & D \\ D & D & D & A & A & O & D \\ D & B & B & D & D & D & O \end{bmatrix} \quad C_{ij}^f = \begin{bmatrix} O & A & A & A & D & D & D \\ A & O & B & C & D & A & B \\ A & B & O & B & A & D & F \\ A & C & B & O & D & E & B \\ D & D & A & D & O & B & D \\ D & A & D & E & B & O & A \\ D & B & F & B & D & A & O \end{bmatrix}$$

n.j	Solution set corresponding to															
	Cluster Representation Matrix				Community Representation Matrix											
3.1	7071	7071	7071		4883	4883	4883	5342	5342							
3.2	7071	7071	7071		3501	3501	3501	3501	3501	3501	3912	3912	3912			
3.3	7071	7071	7071		3775	3775	4067	4410	4410	4317	4502					
3.6	7071	7071	7753		3870	3870	4103	4103	4138	4431	4694					
3.7	7071	7071	7753		3784	3822	3912	4215	4428	4519	4554					
4.2	5662	5662	5996	5996	3244	3244	3284	3284	3330	3498	3498	3508	3508	4031		
4.3	5662	5662	5996	5996	3713	3927	4138	4157	4316	4394	4684					
4.5	5415	5415	6491	6419	3243	3243	3243	3243	3443	3443	3566	3566	3666	3666		
4.6	4515	4515	6491	6419	2580	2714	3586	3794	3795	3848	3989	4211	4344			
4.8	5522	5739	6059	6361	3602	3667	3719	3735	3763	3805	4419	4437				
4.9	5522	5739	6059	6361	2636	2937	3208	3486	3509	3534	3697	3749	3883	3936		
4.10	5522	5739	6059	6361	2891	3250	3415	3576	3615	3814	3909	4042	4430			
4.13	5752	5752	5817	6533	2871	2871	2983	2983	3113	3505	3505	3583	3583	3583	3583	
4.14	5752	5752	5817	6533	3126	3366	3430	3549	3558	3718	3831	4077	4267			
4.16	5472	5794	6054	6853	3606	3643	3643	3748	3909	4077	4260	4260				
4.17	5472	5794	6054	6853	2603	2780	2780	2933	2933	3044	3101	3101	3309	3309	3783	3944
4.18	5472	5794	6054	6853	2747	2786	2952	3212	3513	3629	3829	3832	3879	4185		
4.26	5615	5812	6062	6232	3243	3301	3558	3600	3690	3801	3844	3894	3917			
4.27	5615	5812	6062	6232	3113	3334	3699	3969	4136	4165	4276	4673				
4.28	5615	5812	6062	6232	2918	3214	3509	3577	3628	3773	3987	4053	4428			
4.31	5772	5775	6061	6588	3026	3198	3380	3666	3908	3929	3939	3962	4051			
4.32	5772	5775	6061	6588	3083	3124	3146	3217	3226	3413	3698	3797	3968	4061		
4.41	5958	5958	6004	6004	2735	2735	3229	3229	3229	3229	3610	3610	4587	4982		
4.42	5958	5958	6004	6004	3154	3154	3154	3154	3401	3401	3550	3550	3866	4044		
4.43	5958	5958	6004	6004	3161	3161	3335	3335	3587	3587	4185	4499	4587			
4.45	5876	5876	6306	6306	2726	2895	3224	3375	3464	3560	3748	3826	3885	3975		
4.46	5876	5876	6306	6306	3001	3381	3459	3550	3873	3877	3899	3909				
4.48	5795	5795	6527	6527	3154	3161	3161	3207	3207	3273	3561	3561	4152	4359		
4.49	5795	5795	6527	6527	3154	3359	3370	3542	3572	3577	3731	4245	4388			
4.52	5903	6014	6030	6660	2675	2678	3015	3015	3156	3171	3171	3678	3678	4033	4244	
4.53	5903	6014	6030	6660	2700	2921	2936	3071	3129	3217	3270	3503	3630	3870	4095	
4.54	5903	6014	6030	6660	2439	3058	3058	3110	3110	3256	3325	3622	3622	3745	3790	

**Table 1-** Results for pairs and triplets of n-points clusters, in h.c.p. crystal, having identical geometry. multiplied by  $10^4$

As both of the clusters have exactly the same geometric shape therefore they have the same cluster representation vector  ${}^cR_\alpha^e = {}^cR_\alpha^f = AAD$ . However, the community representation vectors

$${}^cC_\alpha^e = AABDDCAEDBEADBCADADD \text{ and}$$

$${}^cC_\alpha^f = AAADDDBCADABBADFDEBBDA \text{ are distinct.}$$

Thus  $T_\alpha^c = ({}^cR_\alpha^e; {}^cC_\alpha^e)$  and  $T_\alpha^f = ({}^cR_\alpha^f; {}^cC_\alpha^f)$  are distinct beyond their fifth elements. True representation vectors provide a comprehensive method of enumeration of point clusters in double-lattice crystals.

The more simple and efficient computational method based on representation matrices [6] can also be extended for double-lattice structures. The cluster representation and community representation matrices are converted into its augmented form by adding a column vector whose elements are obtained by taking square root of the sum of square of the corresponding row elements. This forms two systems of linear equations. The ordered solutions of both the systems are compared one by one for the recognition of distinct cluster configurations. For the purpose of cluster enumeration one has to compare the second sets of solutions of the clusters only if the first sets happen to be identical. The solution sets of clusters shown in Fig. 1 (c & d), by substituting the numeric values of A, B, C, - - - are  $x^c = (0.1, 0.1; 0.5547, 0.5547, 0.5957, 0.6031)$  and  $x^d = (0.1, 0.1; 0.5908, 0.5908, 0.5908, 0.5908)$ . Similarly  $x^e = (0.707, 0.707, 0.775, 0.387, 0.387, 0.410, 0.410, 0.414, 0.443, 0.469)$  and  $x^f = (0.707, 0.707, 0.775; 0.378, 0.382, 0.391, 0.422, 0.422, 0.452, 0.455)$

### Results and Discussion

The method described above is applied to recognize distinct close-packed vacancy clusters in h.c.p. crystals. Results for groups of tri- and tetra-vacancy clusters, which are not distinguishable by cluster representation matrix procedure, are presented in Table 1. The cluster classification number is taken from reference [5]. The

penta-vacancy clusters are enumerated to be 458.

The present method overcomes the ambiguities arising in recognizing the clusters having identical geometry. Although the method of true representation vectors solves the problem of cluster enumeration, however it is time consuming as it has to consider all  $n!$  equivalent ( $n \times n$ ) cluster representation and  $m!$  equivalent ( $m \times m$ ) community representation matrices for an  $n$  point cluster having  $m$  community members. In the case of numerical method any one of the  $n!$  and  $m!$  representation matrices can be used to recognize a cluster. Therefore considerable computational time, as compared to method of true representation vectors, is saved.

Several conclusions can be drawn from the results presented in Table 1. It is possible, for example, to predict the symmetry of a cluster from its solution set because its equivalent or identical viewpoints have always the same numerical values. The same is true for community points as well. It is interesting to note that cluster configuration 4.3 has two pairs of identical view points but no two of the seven community points have identical situation.

For the fast enumeration of clusters, of a certain size, only community representation matrix considerations are sufficient. However, to deduce the full clustral properties one has to take both of the representation matrices. The method is applicable to crystal structure of any complexity.

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